GENERAL ANALYSIS

Billy Budd (1891) by Herman Melville

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The style of this product of Melville’s last years is strikingly different from the exuberant and highly-colored prose of that great period of more ardent creation (1850-1852) which produced Mardi, Moby-Dick, and Pierre. Though it lacks that fine extravagance of the earlier books, which laid on the color with prodigality, Billy Budd is as rich, or even richer, in Melville’s peculiar and elaborate symbolism; and this symbolism becomes all the more effective for being presented in a dry and objective manner. The fine flourishes, the purple patches, which scintillate brilliantly in Moby-Dick, and the deep sombre melancholy of Pierre are not here. The grandiloquence of youth which tempted Stevenson’s very partial appreciation is here transformed into the dignity of an achieved detachment.

The story develops simply, always unhurried, yet never lagging. Each character is described with the patience which the complex intention of the theme demands—the color of the eyes, the clothes, the complexion, the color of the skin, of the blood under the skin, the past, the present—these are hints at a deep and solemn purpose, one no less ambitious than to portray those ambiguities of good and evil as the mutually dependent opposites, between which the world of realization finds its being.

The title Billy Budd is not without significance, and would strike some readers in its crude simplicity as proof that Melville was lacking in a sense of humor. How could any man, they would argue, write a tragedy and call it Billy Budd? But a sense of humor, like almost everything else, is relative. Melville certainly lacked it in the crude form; but he was always conscious of those occasions when he might seem, to a superficial view, to be wanting it. He is particularly conscious of the obvious, but not in the obvious manner; and when he uses such a name as Billy Budd to set as the hub round which his own philosophy of life must revolve, he does so consciously, choosing the obvious to carry the transcendental. “I have ever found the plain things, the knottiest of all,” he has written; and so he has made the simple man, the everyday Billy, the handsome sailor, the hero of a tragedy.

Humor is appreciated most easily when larger things contract suddenly to smaller things—as when a man slips on a piece of orange-peel, thus converting his intention of going about his business to the abrupt act of falling on his back-side. Yet a more imaginative intelligence might, with a sense of humor just as true, see in the fall, the destiny of man, with full chorus of pities and ironic spirits. The easy contraction will seem to the sophisticated too facile to provoke a smile, a larger humor is found in the reverse process, namely in a filling in, in an exaggeration from the particular to the general. With such an added pinch of imagination, the obvious thing becomes the center of mystery. And so, with a sense of humor which perceived both the obvious and the peculiar quality of the name, Melville deliberately chose “Billy Budd.” Moreover, he made the hero of this, his gospel story (as it might well be called), a foundling of uncertain parentage, whose “entire family was practically invested in himself.”

It is a mistake for critics to try to tell stories which authors must have told better in their texts. The critic’s function is rather to hint at what lies beneath—hidden, sometimes, under the surface. Melville called his story “an inside narrative,” and though it deals with events stirring and exciting enough in themselves, it is yet more exciting because it deals with the relation of those principles which constitute life itself. A simple-mindedness unaffected by the shadow of a doubt, a divine innocence and courage, which might suggest a Christ not yet conscious of His divinity, and a malice which has lost itself in the unconscious depths of mania—the very mystery of iniquity—these opposites here meet, and find their destiny. But Melville’s theme is even larger.

All the grim setting of the world is in the battleship Indomitable; war and threatened mutiny are the conditions of her existence. Injustice and inhumanity are implicit, yet Captain Vere, her commander, is the man who obeys the law, and yet understands the truth of the spirit. It is significant of Melville’s development since the writing of Moby-Dick and Pierre, that he should create this naval captain wholly
pledged to the unnaturalness of the law, but sufficiently touched, at the same time, by the divine difference from ordinary sanity (he goes by the nick-name of “Starry Vere”), as to live the truth within the law, and yet, in the cruel process of that very obedience, to redeem an innocent man from the bitterness of death imposed by the same law. A very different ending this from the despairing acts of dissolution which mar the conclusions of the three earlier books: Mardi, Moby-Dick, and Pierre.

Melville is no longer a rebel. It should be noted that Billy Budd has not, even under the severest provocation, any element of rebellion in him; he is too free a soul to need a quality which is a virtue only in slaves. His nature spontaneously accepts whatever may befall. When impressed from the merchant-ship, the Rights of Man, he makes no demur to the visiting lieutenant’s order to get ready his things for transhipment. The crew of the merchant-ship are surprised and reproachful at his uncomplaining acquiescence. Once aboard the battleship, the young sailor begins to look around for the advantages of chance and adventure. Such simple power to accept gives him the buoyancy to override troubles and irritations which would check inferior natures.

Yet his complete unconsciousness of the attraction, and consequent repulsion, that his youthful beauty and unsophisticated good-fellowship exercise on Claggart, makes it only easier for these qualities to turn envy into hatred. His very virtue makes him the target for the shaft of evil, and his quality of acceptance provokes to action its complementary opposite, the sense of frustration that can not bear the consciousness of itself, and so has to find escape in mania. Thus there develops the conflict between unconscious virtue (not even aware of its loss of Eden and unsuspecting of the presence of evil) and the bitter perversion of love which finds its only solace in destruction.

And not only Billy Budd is marked by this supreme quality of acceptance. Captain Vere, also, possesses it, but with full consciousness, and weighted with the responsibility of understanding the natural naturalness of man’s volition and the unnatural naturalness of the law.... In Captain Vere we find a figure which may interestingly be compared to Pontius Pilate. Like Pilate, he condemns the just man to a shameful death, knowing him to be innocent, but, unlike Pilate, he does not wash his hands, but manfully assumes the full responsibility, and in such a way as to take the half, if not more than the half, of the bitterness of the execution upon himself.

We are given to suppose that there is an affinity, a spiritual understanding between Captain Vere and Billy Budd, and it is even suggested that in their partial and separate existence they contribute two essential portions of that larger spirit which is man.... There are darker hints: those deep, far-away things in Vere, those occasional flashings forth of intuition--short, quick probings to the very axis of reality. Though the book be read many times, the student may still remain baffled by Melville’s significant arrangement of images. The story is so solidly filled out as to suggest dimensions in all directions. As soon as the mind fastens upon one subject, others flash into being.

Melville reported in Pierre how he fished his line into the deep sea of childhood, and there, as surely as any modern psychoanalyst, discovered all the major complexes that have since received baptism at the hands of Freudians. He peered as deep as any into the origins of sensuality, and in conscious understanding he was the equal of any modern psychologist; in poetic divination he has the advantage of most. No doubt the stresses of his own inner life demanded this exceptional awareness. In this book of his old age, the images which he chose for the presentation of his final wisdom, move between the antinomies of love and hate, of innocence and malice. From behind--from far behind the main pageant of the story--there seem to fall suggestive shadows of primal, sexual simplicities. In so conscious a symbolist as Melville, it would be surprising if there should be no meaning or half-meaning in the spilling of Billy’s soup towards the homosexually-disposed Claggart, in the impotence of Billy’s speech in the presence of his accuser, in his swift and deadly answer, or the likening of Claggart’s limp, dead body to that of a snake.

It is possible that such incidents might be taken as indications of some unresolved problem in the writer himself. This may be, but when we remember how far Melville had got in the process of self-analysis in Pierre, and when we have glanced at the further analysis that is obvious in the long narrative poem Clarel, it seems likely that this final book, written nearly forty years after Pierre, should contain a further, deeper wisdom. And as the philosophy in it has grown from that of rebellion to that of acceptance, as the symbolic
figures of unconscious forces have become always more concrete and objective, so we may assume that these hints are intentional, and that Melville was particularly conscious of what he was doing.

But let no one suppose that he would ever pin an image to his scale of value, as an entomologist would pin an insect to his board; there is always in his interpretation a wide spaciousness. He lifts some familiar object, holding it to his light, that it may glow and illumine some portion of what must always remain vast and unknown. For his suggestive use of words, and the special values he gives them, and the large implication he can in this way compress into a sentence, the passage which tells how *Billy Budd* was hanged from the main yardarm of the battle-ship Indomitable is a good example: [last part of Chapter 26.]

Here is Melville at his very best, at his deepest, most poetic, and therefore at his most concentrated, most conscious. Every image has its significant implication: the very roll of the heavily-cannoned ship so majestic in moderate weather--the musket in the ship-armourer's rack; and Billy's last words are the triumphant seal of his acceptance, and they are more than that, for in this supreme passage a communion between personality at its purest, most God-given form, and character, hard-hammered from the imperfect material of life on the battleship *Indomitable*, is here suggested, and one feels that the souls of Captain Vere and Billy are at that moment strangely one.

In this short history of the impressment and hanging of a handsome sailor-boy, are to be discovered problems almost as profound as those which puzzle us in the pages of the Gospels. *Billy Budd* is a book to be read many times, for at each reading it will light up, as do the greater experiences of life, a beyond leading always into the unknown.

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*The New England Quarterly VI*
(June 1933) 319-27